

**Minutes of the 40th Meeting of the Finance Committee of Assam University,
Silchar held on June 23, 2015 at 2.00 PM at Association of Indian Universities,
AIU House, 16 Comrade Indrajit Gupta Marg (Kotla Marg), Landmark:
Opposite National Bal Bhawan, Near I.T.O., New Delhi -110002**

The following were present:

1. Prof. Somnath Dasgupta, Vice-Chancellor, Assam University : Chairman
2. Prof. Debasish Bhattacharjee, Pro Vice-Chancellor, Assam University, Silchar : Member
3. Prof. D. K. Pandiya, Pro Vice-Chancellor, Diphu Campus : Member
3. Shri Gour Krishna Pattanayak, Finance Officer, Jadavpur University, Kolkata (EC Nominee) : Member
4. Shri S. K. Ghildiyal, Dy. Secretary, MHRD, GoI Nominee of JS (CU&L), MHRD, GoI (Visitor's Nominee) : Member
5. Prof. N. B. Biswas, Assam University, Silchar (Representative of SC/ST) : Member
6. CMA (Dr.) B. B. Mishra, Finance Officer, Assam University : Ex-officio-Secretary

Joint Secretary & Financial Advisor, MHRD (Visitor's Nominee); Joint Secretary (CU), UGC, New Delhi (Visitor's Nominee) and Shri Joydeep Sil, Registrar, IISER, Kolkata (EC Nominee) could not attend meeting and were granted leave of absence.

The comments provided by the Under Secretary to Govt. of India with the approval of Joint Secretary (CU&L), MHRD, GoI, New Delhi (Visitor's Nominee) on dated 18th June 2015 by e-mail to the Registrar, Assam University were placed by the Secretary, Finance Committee, Assam University before the Finance Committee and the members were noted the comments.

Item No. FC: 40/01: Welcome and Opening Remarks by the Vice Chancellor, Assam University.

Prof. Somnath Dasgupta, Chairman, Finance Committee and the Vice-Chancellor of the Assam University welcomed Shri Subodh Kumar Ghildiyal, Dy. Secretary, MHRD, GoI and Shri G.K. Pattanayak, Finance Officer, Jadavpur University, Kolkata, the Executive Council Nominee for attending the meeting and other members of the Finance Committee.

The Chairman in his opening remarks seeking guidance from all the members for all round development and growth of the University. He requested the Secretary, Finance Committee to initiate the proceeding as per the agenda items.

Item No. FC: 40/02: Confirmation of the Minutes of the 39th meeting of the Finance Committee of the University held on November 29, 2014.

The copy of the Minutes of the 39th meeting of the Finance Committee of the University held on November 29, 2014 placed at Annexure-A was circulated to all the members vide letter no. AUF/686/FC-39/2014-15/8000-11, dated December 10, 2014. No comments on the same have been received. The Minutes may kindly be confirmed.

Resolution: The Minutes of the 39th meeting of the Finance Committee of the University held on November 29, 2014 were perused and confirmed by the members.

Item No. FC: 40/03: Action Taken Report of the 39th Meeting of the Finance Committee of the University.

Action Taken Report of the 39th meeting of the Finance Committee of the University held on November 29, 2014 are placed at Annexure –B for information and necessary review.

Resolution: The Action Taken Report on the Minutes of the 39th Meeting of the Finance Committee of the University held on November 29, 2014 was perused and noted by the Members of the FC.

Shri Pattanayak, EC nominee desired that the names of the employees not opened the PRAN by not giving S1 Form for opening of the PRAN to be put up to the next Finance Committee meeting for information of the members.



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Item No. FC: 40/04: Recommendation for approval and adoption of annual accounts of Assam University for the financial year 2014-15.

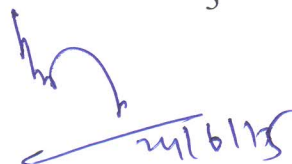
The annual accounts of the Assam University, Silchar i.e. Receipt & Payment Account, Income & Expenditure Account, Balance Sheet and relevant Schedules for the financial year 2014-15 in the Revised Format of Accounts communicated by the Ministry of Human Resource Development, Department of Higher Education, Government of India vide their letter no. 29-4/2012-IFD dated 17th April, 2015 with the approval by the Office of the Comptroller & Auditor General of India, vide their letter no. RC (AB)/ Misc./ Format of A/cs./ 04-31/2013 dated 10th April, 2015 are placed in a separate folder.

The Finance Committee under Section 19 (7) of the Statutes of the University may kindly recommend for approval and adoption of the annual accounts for the above year by the Executive Council, of the University.

Resolution: The Finance Committee under Section 19 (7) of the Statute of the University recommends the Annual Accounts of Assam University for the financial year 2014-15 prepared in the revised format of Accounts communicated by the Ministry of Human Resource Development, Department of Higher Education, Government of India vide their letter no. 29-4/2012-IFD dated 17th April, 2015 with the approval by the Office of the Comptroller & Auditor General of India, vide their letter no. RC (AB)/ Misc./ Format of A/cs./ 04-31/2013 dated 10th April, 2015 to the Executive Council for approval and adoption.

Further, the members were advised to change the nomenclature in Schedule 3 under Statutory Liabilities from VAT to TDS (VAT) and from Income Tax to TDS (Income Tax).

Item No. FC: 40/05: Approval of recommendation of the External Committee for correction of CPF to GPF-cum-Pension Scheme of the Faculty Members & Others of the University joined prior to 01.01.2004 and those who were in GPF-cum-Pension Scheme in their previous



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**Organization, joined on or after 01.01.2004 to opt
GPF-cum-Pension Scheme of the University.**

The Executive Council of Assam University in its 2nd Meeting held on 28th January, 1995 vide Agenda Item No. 23.(4), resolved that each regular employee of the University to be a member of either GPF or CPF and can subscribe towards the fund to which he/ she has opted. The employee who opted for GPF may opt out of the CPF and subscribe towards GPF at any time within his/her entire service period. In the event of such option, only his/her individual contribution towards his CPF account along with interest thereon will be transferred to his GPF Account.

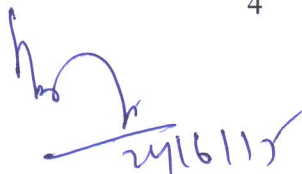
Dept. of Pension & Pensioners Welfare, Government of India in their letter dated 1st May 1987, notified that all CPF beneficiaries who were in service on 01.01. 1986 will be deemed to have come over to the Pension Scheme. These employees may have an option to continue under CPF Scheme. If no option is received, the employees will deemed to have come over to the Pension Scheme. It is to note that Assam University came into existence in the year 1994.

The Executive Council in its 38th meeting held on 21st August, 2003 vide Agenda Item No. 13 approved for the case of two faculty members for switching over from CPF to GPF-cum-Pension Scheme as per the UGC's directive vide No. F. No.31-29/98 (CU).

UGC in their letter No. F.31-39/98(CU), dated 17th May 2005, directed the Assam University to allow the employees for adoption of GPF Scheme who were recruited after IVth Pay Commission and before 1st January, 2004 (New Pension Scheme applicable date) and to make necessary corrective measures immediately. The copy of the letter of UGC is at Annexure - C.

UGC also in their letter No. F.19-5/2003(CU), dated Sept 2013 basing on the clarification submitted by the Assam University, conveyed the decision of the UGC on switching over from CPF to GPF in respect of the employees of Assam University under the following conditions.

- i. Who joined Assam University as fresh recruitee with no past service up to 31.12.2003, will be enrolled under GPF cum Pension Scheme.
- ii. Who joined the Assam University from other Colleges/Universities having CPF Schemes and opted to continue with same scheme, can never be switched over to GPF cum Pension Scheme.


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- iii. Who joined from other Colleges/Universities having CPF Scheme and not opted for any scheme, can be covered under GPF cum Pension Scheme with the benefit of continuation of previous service provided the employer CPF contribution and other terminal benefits with up to date interest are remitted to Assam University. If the previous benefits with up to date interest are not deposited with Assam University, benefits of previous service will not be admissible.

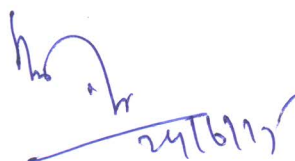
UGC also requested in the said letter to settle the cases in view of the above clarification. The copy of the letter of the UGC is at Annexure – D.

This long pending issue was placed to the 39th meeting of the Finance Committee vide Agenda Item No. FC: 39/05 and FC resolved that the University should prepare the individual status report in detailed of such employees based on the categories and referred to External Committee consisting of Shri Joydeep Sil, Registrar, IISER, Kolkata and Shri Gour Krishna Pattanayak, Finance Officer, Jadavpur University, Kolkata with at least one member from MHRD for scrutiny and necessary recommendations.

The Committee meet on June 23, 2015 and the recommendations placed on the table for necessary approval.

Resolution: The members discussed in detailed the individual cases for the proposal for correction of CPF to GPF-cum-Pension Scheme recommended by the Committee in their meeting held on June 23, 2015 at 12.00 Noon at AIU House, New Delhi considering the followings:

01. The terms and conditions of the appointment letters issued to the faculty concerned which stipulates that they will entitled to GPF-cum-Gratuity as per University Rule.
02. The resolution of the 2nd Executive Council meeting of the Assam University held on 20th January, 1995 for considering the employees to opt either GPF or CPF Scheme.
03. UGC letter No. F.31-39/98(CU), dated 17th May 2005 and letter No.F.19-5/2003 (CU), dt. Sep 2013 issued to the Assam University.

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The Finance Committee reiterated the views and recommendations of the said Committee that:

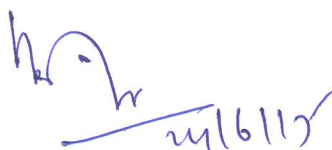
The statement of particulars for allotment of CPF Account Number and submission of the form of nomination of CPF to Assam University is not a clear cut option for opting CPF. This is only an allotment particulars and nomination form for CPF. As there is no such option for opting CPF for the employees joining after 4th Pay Commission and before 01.01.2004, the employees may not be considered under category No. (ii) of the UGC letter No. F.19-5/2003 (CU) of Sep 2013.

Considering the above into account, the Finance Committee recommends the cases of:

1. Prof. N.B. Biswas, 2. Prof. Gopalji Mishra, 3. Prof. B. Mate, 4. Prof. R.R. Dhamala, 5. Prof. D.K. Pandiya, 6. Prof. Geetika Bagchi, 7. Prof. S.B. Paul, 8. Prof. B.K. Dutta, 9. Prof. M.R. Islam, 10. Prof. (Late) G. Biswas, 11. Dr.(Late) P. Debnath, 12. Shri P.R. Ramesh, 13. Shri D.B. Rai, 14. Prof. S.K. Panda for correction from CPF to GPF-cum-Pension Scheme in principle for the service period rendered at Assam University only.

As regard the cases of Prof. Tapodhir Bhattacharjee and Prof. Bishwanath Prasad, the Committee could not recommend for re-opening of the cases as full and final settlement of CPF including the employer's contribution and interest thereon were paid.

The Committee recommends the case of Prof. Mithra Dey under clause (iii) of the UGC letter No. F.19-5/2003 (CU) of Sep 2013 issued to Assam University provided the employer's GPF contribution and other terminal benefits with up-to-date interest are remitted to Assam University. If the previous benefits with up to date interest are not deposited with Assam University, benefits of continuing GPF- cum- Pension Scheme at Assam University will not be admissible.


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The above recommendations may be placed before the next Executive Council of the University for necessary approval for implementation with the concurrence of MHRD, GoI as it involves policy decision.

Item No. FC: 40/06: Status of Utilization of Plan and Non-Plan Grants of the University as on 31.03.2015.

Utilization of Plan and Non-Plan Grants as on 31.03.2015 under different heads is placed at Annexure - E and Annexure - F respectively for kind perusal of the members.

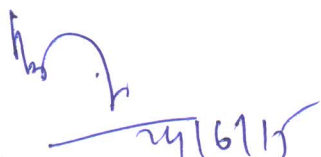
Resolution: The status of fund utilization under XII Plan and Non-Plan for the year 2014-15 was noted by the members. The members expressed concern over the precarious financial situation in the light of low allocation of fund under XII Plan Head. It was resolved that the UGC and MHRD should be drawn attention to increase the XII Plan fund from Rs. 84.00 crores to at least Rs. 130.00 crores considering the basic expenditure incurred by the University as on date.

Shri S.K. Ghildiyal, representative of MHRD informed that the Building Status Report of all the ongoing constructions should be placed before the Finance Committee with a separate agenda item in the next Finance Committee.

Item No. FC: 40/07: Adjustment of advances of the Faculty Members based on the proof of submission of bills and submission of photocopies of the missing bills.

The following Faculty Members were submitted original vouchers for adjustment of advances issued against them as stated below:

Sl. No.	Name of the Faculty	Date of Advance issued	Amount of Advance	Date of submission of Vouchers	Amount of Vouchers submitted for adjustment	Name of Fund
01.	Prof. Ashoke K. Sen,	29.09.2011	Rs. 18,210/-	28.11.2011	Rs. 18,160/-	OBC Grant
02.	Prof. N.V. S. Rao	January 2006	Rs.9,27,877/-	01.03.2007	Rs.9,27,637/-	DST Project Fund
03.	Prof. Debasish Kar	06.04.2009	Rs. 22,000/-	10.05.2009	Rs.22,000/-	Career Orientatio



						Programme
04.	Dr. Manoj Paul	04.06.2007	Rs.14,02,415/-	28.04.2009	Rs.14,02,481/-	DST Project Fund
05.	Prof. Niranjan Roy	24.07.2009	Rs. 60,000/-	31.08.2009	Rs.71,613/-	Organising Student Orientation Programme
06.	Prof. Niranjan Roy	28.10.2010	Rs. 7,000/-	09.12.2010	Rs.6,985/-	Expenses on Annual Report
07.	Prof. Niranjan Roy	19.01.2011	Rs. 10,000/-	30.01.2011	Rs. 10,040/-	Organising the visit of Prof. Don Youchen
08.	Prof. Niranjan Roy	18.11.2010	Rs. 10,000/-	22.03.2011	Rs. 11,256/-	Organizing 3 rd IQA Meeting
09.	Prof. Niranjan Roy	23.11.2010	Rs. 10,000/-	22.03.2011	Rs.13,545/-	Meeting Expenses
10.	Prof B. Mate	15.09.2010	Rs. 58,976/-	10.12.2010	Rs. 58,976/-	Attending International conclave at Singapore

However, the vouchers have not adjusted against the advances of the Faculty Members and not traceable at present in Finance Department. The Concerned Faculty Members have submitted self attested photocopies of such vouchers along with the supporting document of submission of the vouchers to the Finance Department for adjustment. Necessary approval may kindly be accorded for adjustment of above advances considering the photocopies of the vouchers with an undertaking that the said original vouchers were not adjusted against any previous advance(s) and if, the same original vouchers are traced out in future, these should not be claimed for reimbursement/adjustment.

Resolution: The Committee reviewed the reasons for non adjustment of advances due to non-availability of original vouchers submitted by the faculty members to the Finance Dept. in the past. Considering the situation, the Committee recommends and referred the matter to the Executive Council for approval of adjustment of such advances on the basis of photocopies of the vouchers with an undertaking as stipulated.

However, Shri Ghildiyal, MHRD representative expressed the views of the Ministry communicated to the Registrar, Assam University by e-mail on dated 18.6.2015 that the University should constitute an internal enquiry on the missing bills and take action on officer/ official based on the report of the Committee. The members referred the case to the Executive Council for constitution of an Enquiry Committee as communicated by the MHRD.

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Item No. FC: 40/08: Any other items, with permission of Chair.

(i) Exercising the date of option for Ph.D. increments.

Resolution: The Committee perused the issue of the claims of some faculty members of Assam University exercising their options for getting three non-compound increments on acquiring Ph.D. degree during in service period at Assam University on a stipulated date after the date of notification for award of Ph.D. degree.

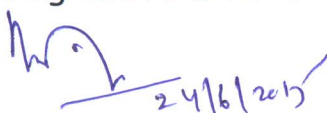
As UGC Regulations, 2010 is silent about the giving three non-compound increments on acquiring Ph. D. degree either from date of award of the degree or from a later date, the members recommends the issue to UGC for confirmation of accepting option for providing such three non-compound increments from a later date based on the option of the concerned faculty member.

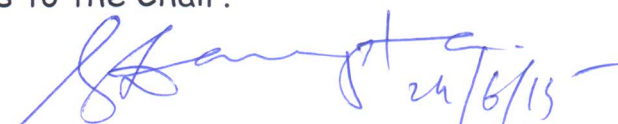
(ii) Delegation of Financial Power to Pro Vice-Chancellor, Assam University, Diphu Campus, Diphu..

The Pro Vice-Chancellor, Assam University, Diphu Campus raised the issue for enhancement of delegation of financial powers considering the proximity of the place and quick execution of the work/ purchases.

The Committee with the concurrence of Vice Chancellor approved the delegation of financial powers up to Rs. 5.00 lakhs in each occasion for non-recurring expenses subject to fulfillment of the GFR in toto from the Course Fee/Centre Fee fund only of the Diphu Campus. All other delegations made for different purpose will remain unaltered.

The meeting ended with vote of thanks to the Chair.


(CMA (Dr.) B. B. Mishra)
Ex-officio-Secretary
Finance Committee
Assam University, Silchar


(Prof. Somnath Dasgupta)
Chairman
Finance Committee
Assam University, Silchar